## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

VLADIMIR GRUSHIN ET AL.

CASE NO.: PE0649 US DIV4

APPLICATION NO.: UNKNOWN

CONFIRMATION NO.: UNKNOWN

GROUP ART UNIT:

UNKNOWN

**EXAMINER: UNKNOWN** 

FILED: CONCURRENTLY HEREWITH

FOR:

ELECTROLUMINESCENT IRIDIUM COMPOUNDS WITH FLUORINATED

PHENYLPYRIDINES, PHENYLPYRIMIDINES, AND PHENYLQUINOLINES AND

DEVICES MADE WITH SUCH COMPOUNDS

## INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information that may be helpful in the examination of the above-identified patent application. All of the information is listed on attached Forms PTO/SB/08A, PTO/SB/08B, and PTO-892.

Benefit of the earlier filing dates of U.S. Patent Application No. 10/027,421 filed December 20, 2001 and U.S. Patent Application No. 09/879,014 filed June, 12, 2001 are claimed under 35 U.S.C. 120 for the above-referenced application and information cited in the priority applications is not supplied with this Information Disclosure Statement.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

MARY AND CAPRIA Attorney for Applicants

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Dated: October 29, 2003

**Enclosures** 

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Substitute	Substitute for form 1449A/PTO			Complete if Kn wn		
				Application Number	10/027,421	
INFO	RMATION	DIS	CLOSURE	Filing Date	DECEMBER 20, 2001	
STAT	<b>TEMENT B'</b>	Y A	PPLICANT	First Named Inventor	VLADIMIR GRUSHIN ET AL.	
				Group Art Unit	2815	
(use as many sheets as necessary)			necessary)	Examiner Name	UNKNOWN	
Sheet	1	of	2	Attorney Docket Number	PE0649 US CIP	

				U.S. PATE	NT DOCUMENTS	
Examiner Initials *	Cite No.1	Document Number  Number – Kind Code <sup>2</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	Cite	Foreig	n Patent Doo	cument	Dublication Data	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner Initials*	J a. 1	CountryCode <sup>3</sup>	Number⁴	Publication Date  Publication Date  MM-DD-YYYY  A	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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Examiner Signature	Date Considered	



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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols asindicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/027,421 INFORMATION DISCLOSURE **DECEMBER 20, 2001** Filing Date STATEMENT BY APPLICANT First Named Inventor VLADIMIR GRUSHIN ET AL. Group Art Unit (use as many sheets as necessary) **UNKNOWN Examiner Name** Sheet of Attorney Docket Number 10/027,421

		OTHER PRIOR ART NON PATEN	T LITERATUR	E DOCUMENTS			
Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T²		
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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of

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First Named Inventor	VLADIMIR GRUSHIN ET AL.				
Group Art Unit	2815				
Examiner Name	UNKNOWN				
Attorney Docket Number	PE0649 US CIP				

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Docu Number Kind C	Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
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•	wo	00/70655	A2	Princeton Univ. and USC	11/23/00	vigator ppsa.	
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		Cite No.1 Office <sup>3</sup> WO	Cite No.1 Office <sup>3</sup> Number <sup>4</sup> K (	No.1         Office³         Number⁴         Kind Code⁵ (if known)           WO         00/70655         A2           WO         96/03410         A1	Cite No.¹  Office³  Number⁴  Kind Code⁵ (if known)  WO  00/70655  A2  Princeton Univ. and USC  WO  96/03410  A1  Boehringer Mannheim	Cite No.1	Cite No.¹ Office³ Number⁴ Kind Code⁵ (if known) or Applicant of Cited Document MM-DD-YYYY Princeton Univ. and USC September 1 1/23/00  WO 96/03410 A1 Boehringer Mannheim 02/08/96

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Examiner Signature	Date Considered		-

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known					
Application Number	10/027,421				
Filing Date	DECEMBER 20, 2001				
First Named Inventor	VLADIMIR GRUSHIN ET AL.				
Group Art Unit	2815				
Examiner Name	UNKNOWN				
Attorney Docket Number	PE0649 US CIP				

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
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09/879,014

Examiner

Erik Kielin

Applicant(s)/Patent Under Reexamination GRUSHIN ET AL.

Art Unit

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Page 1 of 1

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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